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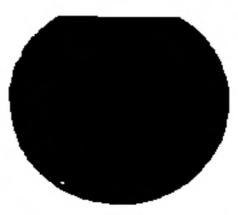
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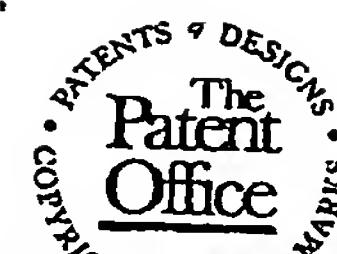
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3. Full name, address and postcode of the or of each applicant (*underline all surnames*)

Neil Polwart  
2 Kingsfield  
Linlithgow  
West Lothian  
EH49 7SJ

Patents ADP number (*if you know it*)

If the applicant is a corporate body, give the country/state of its incorporation

United Kingdom

4. Title of the invention

Improved Surface Plasmon Resonance Sensor

5. Name of your agent (*if you have one*)

"Address for service" in the United Kingdom to which all correspondence should be sent (*including the postcode*)

Kennedys Group  
Floor 5, Queens House  
29 St Vincent Place  
Glasgow  
G1 2DT

Patents ADP number (*if you know it*)

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (*if you know it*) the or each application number

Country	Priority application number ( <i>if you know it</i> )	Date of filing (day / month / year)
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7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application	Date of filing (day / month / year)
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NO

- a) *any applicant named in part 3 is not an inventor, or*
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Description

12

Claim(s)

Abstract

Drawing(s)

3 TS

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Priority documents

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Statement of inventorship and right to grant of a patent (Patents Form 7/77)

Request for preliminary examination and search (Patents Form 9/77)

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I/We request the grant of a patent on the basis of this application.

Signature  
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Date

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12. Name and daytime telephone number of person to contact in the United Kingdom

David Fulton

0141 226 6826

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1      Improved Surface Plasmon Resonance Sensor

2

3    This invention relates to a Surface Plasmon Resonance  
4    Sensor. In particular it relates to an improved design  
5    of Surface Plasmon Resonance Sensor that is compact,  
6    mobile and cost effective thus making it ideal for field  
7    applications.

8

9    The phenomenon of Surface Plasmon Resonance (SPR) is well  
10   known to those skilled in the art having being first  
11   demonstrated over twenty five years ago. Surface Plasmon  
12   Resonance is a charge-density oscillation that may exist  
13   at the interface of two media that exhibit dielectric  
14   constants of opposite signs, for example a metal and a  
15   dielectric.

16

17   Surface Plasmon Resonance sensors described in the Prior  
18   art generally comprise an optical system, a transducing  
19   medium that generally combines the optical system and the  
20   relevant chemical or biochemical domains, and an  
21   electronic system that supports the optoelectronic  
22   components of the sensor and allows for the required data  
23   processing. The devices come in three main  
24   configurations namely:

- 1       (1) Prism coupler based systems;
- 2       (2) Grating coupler based systems; or
- 3       (3) Optical waveguide based systems.

4

5 A typical prism coupler based system 1 is presented  
6 schematically in Figure 1. This system is generally  
7 accepted as being the best suited for sensing and  
8 therefore has become the most widely employed system in  
9 the art. In this configuration a light wave 2 passes  
10 through a first element of an optical system 3 before  
11 passing into a prism 4. Thereafter, the light wave 2  
12 experiences total internal reflection at the interface  
13 between the prism 4 and a thin metal layer 5 (typically  
14 of a thickness of around 50 nm). The light wave 2 then  
15 passes through a second element of the optical system 6  
16 that acts to manipulate the light wave 2 such that it  
17 becomes incident on a detector 7.

18

19 The Surface Plasmon Resonance sensor 1 is an ideal medium  
20 for analysing samples that become attached to the metal  
21 layer 5. SPR is a phenomenon that occurs when light  
22 incident upon the metallic layer 5 provides an absorption  
23 energy capable of vibrationally exciting the packets of  
24 electrons (or plasmons) located on the surface of the  
25 metal layer 5. As such the energy required to achieve  
26 SPR is highly dependent upon the dielectric constant of  
27 the species at the surface of the metal, the wavelength  
28 of the light wave 2 and the angle of incidence of the  
29 light wave 2.

30

31 As is known in the art the use of a particular  
32 monochromatic light source of a known wavelength incident  
33 at variable angles, or across a range of known angles,  
34 allows a reference Reflectance Angle versus Intensity

1 data to be recorded. The presence of any foreign bodies  
2 that become attached to the surface of the metal layer 5  
3 then act to change the value of the dielectric constant  
4 experienced by the light wave 2 at the surface of the  
5 metal layer 5. As such the presence of these foreign  
6 bodies can be easily detected and thereafter quantified  
7 by monitoring the profile of the Reflectance Angle versus  
8 Intensity curves.

9

10 The systems described in the Prior Art are not easily  
11 miniaturised and as such are not easily adapted to be  
12 used as field based instruments. Therefore, a user  
13 requires to take a sample that then needs to be taken to  
14 the laboratory for testing which can lead to significant  
15 delays in obtaining results. Such delays can be fatal  
16 when the instruments are employed as biosensors to detect  
17 particular pathogens.

18

19 It is an object of an aspect of the present invention to  
20 provide a Surface Plasmon Resonance Sensor that is  
21 compact, mobile and cost effective thus making it ideal  
22 for the field detection of pathogens in, for example,  
23 water systems.

24

25 According to a first aspect of the present invention  
26 there is provided a cartridge for use in a sensor, the  
27 cartridge comprises an optical element having a first  
28 surface for the entry of a light beam incident on the  
29 optical element and a mounting member for supporting a  
30 sensing agent located on a second surface of the optical  
31 element wherein the first surface includes a first means  
32 for directing the light beam incident on the optical  
33 element towards the second surface at an angle of  
34 incidence to the second surface that results in

1 substantially total internal reflection of the light beam  
2 at a boundary of the mounting member and the second  
3 surface.

4

5 Most preferably the optical element further comprises a  
6 third surface for the exit of the light beam from the  
7 optical element wherein the third surface includes a  
8 second means for directing the light beam.

9

10 Preferably the optical element comprises a material  
11 having a first dielectric constant while the mounting  
12 member comprises a material having a second dielectric  
13 constant wherein the second dielectric constant is of an  
14 opposite sign to that of the first dielectric constant.

15

16 Most preferably the first means for directing the light  
17 beam comprises a focusing element for focusing the light  
18 beam to a line on the boundary of the mounting member and  
19 the second surface.

20

21 Preferably the second means for directing the light beam  
22 comprises a defocusing element.

23

24 Preferably the mounting member comprises a metal.

25

26 Preferably the optical element comprises an injection  
27 moulded plastic material.

28

29 Most preferably the sensing element comprises an antibody  
30 suitable of binding one or more pathogens.

31

32 Preferably the pathogen suitable for being bound to the  
33 antibody comprises a bacterium selected from the group  
34 comprising Legionella, Escherichia coli, Salmonella,

- 1 Bacillus Anthracis, Yersinia Pestis, Lysteria,  
2 Cryptosporidium, Variola virus, Picomaviridae Aphovirus,  
3 Filoviruses, any plasticiser, steroid, medicinal drug or  
4 illicit substance or any other known fluid borne  
5 bacterium.
- 6
- 7 Preferably a protein substrate and a ligand binds the  
8 biotinylated antibody to the metal.
- 9
- 10 Preferably the protein substrate comprises biotin.
- 11
- 12 Preferably the ligand comprises a protein selected from  
13 the group comprising avidin, strepavidin and neutravidin.
- 14
- 15 According to a second aspect of the present invention  
16 there is provided a Surface Plasmon Resonance sensor  
17 comprising a light source for generating a light beam, a  
18 cartridge according to the first aspect of the present  
19 invention, a channel capable of containing a fluid sample  
20 to be tested and a light beam detection means wherein the  
21 cartridge allows for the miniaturisation of the sensor.
- 22
- 23 Most preferably the light source comprises a diode laser.
- 24
- 25 Preferably the channel locates on the second surface of  
26 the cartridge such that the fluid sample contained within  
27 the cartridge makes physical contact with the mounting  
28 member.
- 29
- 30 Preferably the light beam detection means comprises a  
31 detector and a data processing means.
- 32
- 33 According to a third aspect of the present invention  
34 there is provided a method for the field detection of one

1 or more pathogens that employs a Surface Plasmon  
2 Resonance sensor in accordance with the second aspect of  
3 the present invention comprising the steps of:

- 4 1) Selecting the appropriate cartridge for the one or  
5 more pathogens to be tested for;
- 6 2) Calibrating the Surface Plasmon Resonance sensor;  
7 and
- 8 3) Testing of a fluid sample for the presence of one  
9 or more of the pathogens;

10

11 Preferably the selection of the appropriate cartridge  
12 comprises locating the cartridge with one or more  
13 appropriate antibodies within the Surface Plasmon  
14 Resonance sensor.

15

16 Preferably calibrating the Surface Plasmon Resonance  
17 sensor comprises:

- 18 1) Irradiating the mounting member with the light  
19 beam in the absence of the fluid sample; and
- 20 2) Detecting the light beam and storing the data as a  
21 reference signal;

22

23 Preferably testing of the fluid sample for the presence  
24 of one or more pathogens comprises:

- 25 1) Locating the fluid sample with respect to a  
26 channel;
- 27 2) Connecting the channel to the disposable  
28 cartridge;
- 29 3) Irradiating the fluid sample with the light beam;
- 30 4) Detecting the light beam and storing the data as a  
31 sample signal; and
- 32 5) Analysing the test results by comparing the sample  
33 signal to the reference signal.

34

1 Embodiments of the invention will now be described, by  
2 way of example only, with reference to the accompanying  
3 drawings, in which:

4

5 Figure 1 present a prism coupler based Surface  
6 Plasmon Resonance sensor as described in  
7 the Prior Art;

8 Figure 2 present a disposable cartridge based  
9 Surface Plasmon Resonance sensor in  
10 accordance with an aspect of the present  
11 invention;

12 Figure 3 present a schematic representation of the  
13 Surface Plasmon Resonance sensor of  
14 Figure 2; and

15 Figure 4 present a schematic representation of a  
16 binding method employed by the Surface  
17 Plasmon Resonance sensor of Figure 2; and

18 Figure 5 presents typical Angle versus Intensity  
19 curves as may be obtained by the Surface  
20 Plasmon Resonance sensor.

21

22 Figures 2 and 3 present a disposable cartridge based  
23 Surface Plasmon Resonance sensor 8 in accordance with an  
24 aspect of the present invention. The sensor can be seen  
25 to comprise a diode laser 9, a disposable cartridge 10  
26 and a charge coupled device (CCD) detector 11 that is  
27 connected to a data processing unit 12.

28

29 The disposable cartridge 10 comprises a shaped entrance  
30 surface 13, a shaped exit surface 14 and a gold strip 15  
31 that is attached to a third side of the disposable  
32 cartridge 16. A channel 17 is employed to enclose the  
33 gold strip so providing a means for containing or passing  
34 a fluid sample across the surface of the gold strip 15.

1 The disposable cartridge 10 can be removed from the  
2 channel so as to enable the cartridge 10 to be replaced  
3 as required.

4

5 In order that the cartridge 10 be correctly aligned to  
6 the diode laser 9, the CCD detector 11 and located  
7 correctly with the channel 17, the channel 17 may further  
8 comprise either male or female members (not shown) that  
9 interact with female or male members, respectively,  
10 located on the surface of the cartridge 10.

11

12 In order for the Surface Plasmon Resonance sensor 8 to  
13 operate correctly there must be a means whereby the  
14 relevant pathogen 18 to be detected can attach to surface  
15 of the gold strip 15. There are several techniques known  
16 to those skilled in the art for binding pathogens 18 to a  
17 metal strip..

18

19 Figure 4 presents a schematic representation of a binding  
20 method suitable for use with the Surface Plasmon  
21 Resonance sensor 8. The first stage involves binding a  
22 suitable protein substrate 19, for example biotin, to the  
23 surface of the gold strip 15. Stage two involves  
24 attaching a ligand 20 to the protein substrate 19. A  
25 suitable ligand 20 for conjugating with biotin is avidin  
26 although streptavidin or neutravidin may also be employed.  
27 The third stage then involves the attachment of an  
28 antibody 21, appropriate for the relevant pathogen 18 to  
29 be tested for, to the ligand 20. This attachment is  
30 achieved by employing antibodies 21 that have been  
31 biotinylated 22.

32

33 When the gold strip 15 has been treated as described  
34 above the Surface Plasmon Resonance sensor 8 is ready for

1 use. The diode laser 9 provides the required light beam  
2 23. The light beam 23 is focused to a line 24 on the  
3 gold strip 15 on passing through the shaped entrance  
4 surface 13. This provides a large area of interaction  
5 between the light beam 23 and the gold strip 15. Such an  
6 area of interaction allows a range of spatially resolved  
7 biotinylated antibodies 22 to be deposited on a single  
8 cartridge 10. The light beam 23 is then totally  
9 internally reflected so as to traverse through the shaped  
10 exit surface 14. This results in the light beam 23 being  
11 defocused such that the incident signal from each of the  
12 biotinylated antibodies 22 is spatially resolved across  
13 the whole area of the CCD detector 11. Data processing  
14 can then be carried out on the detected signal as  
15 appropriate.

16

17 Figure 5 presents a schematic Reflectance Angle versus  
18 Intensity curves that may typically be obtained by the  
19 Surface Plasmon Resonance sensor 8. The solid curve 25  
20 corresponds to the case where no pathogen 18 is present  
21 in the fluid sample as indicated in Figure 5(a).  
22 However, Figure 5(b) shows the case when a pathogen 18 is  
23 present in the fluid sample, as represented by the broken  
24 curve 26. The pathogen 18 on becoming attached to the  
25 surface of the gold strip 15 alters the value of the  
26 dielectric constant experienced by the light beam 23 at  
27 the surface of the gold strip 15. As such the presence  
28 of the pathogen 18 alters the profile of the Angle versus  
29 Intensity curve, so permitting quick and easy detection  
30 of the presence of the pathogen 18.

31

32 The employment of the disposable cartridge 10 and a diode  
33 laser 9 light source provides the Surface Plasmon  
34 Resonance sensor 8 with significant inherent advantages

1 over those taught in the Prior Art. In the first  
2 instance these elements allow for the significant  
3 miniaturisation of the device such that the Surface  
4 Plasmon Resonance sensor 8 provides a compact, mobile and  
5 cost effective device for the field testing of the  
6 presence of a pathogen 18. The miniaturisation of the  
7 device has the added advantage that it increases the  
8 sensitivity of the sensor since all of the functionalised  
9 area of the gold strip 15 can be contained within the  
10 focused line 24 area of the incident light beam 23.

11

12 Having the focusing and defocusing elements incorporated  
13 directly within the disposable cartridge 10 removes the  
14 time consuming alignment requirements associated with the

---

15 optical systems 3 and 6 of the Prior Art sensors. In  
16 addition by employing an injection moulding technique  
17 allows for the low cost fabrication of the disposable  
18 cartridge 10. Such a technique therefore makes it cost  
19 effective to remove and dispose of the cartridge 10 after  
20 use and simply replace it with a new cartridge 10 as  
21 required. The use of these disposable cartridges 10  
22 significantly reduces the time consuming cleaning  
23 requirements associated with the sensors described in the  
24 Prior Art.

25

26 The Surface Plasmon Resonance sensor 8 described herein  
27 is particularly suitable for the detection of the  
28 bacteria Legionella in water samples obtained from  
29 industrial or recreational sources. This is of  
30 particular importance in evaluating and controlling the  
31 risk to public health presented by the often-fatal  
32 condition Legionnaires disease and the less serious but  
33 far more common condition of Pontiac Fever. Existing  
34 techniques are either very slow or too labour insensitive

1 to meet market demands as these generally require  
2 qualified microbiologists to perform testing at  
3 specialist laboratories.

4

5 The availability of the focused line 24 interaction area  
6 on the gold strip 15 allows for the functionalisation of  
7 the interaction area for different antibodies that are  
8 sensitive to different forms of the Legionella bacteria.  
9 Thus this apparatus provides for a sensor capable of  
10 simultaneously detecting and discriminating between  
11 Legionella pneumophila serogroup 1 and Legionella  
12 serogroups 2-15.

13

14 Although ideal for the detection of the bacteria  
15 Legionella it will be obvious to one skilled in the art  
16 that the surface Plasmon Resonance sensor may be easily  
17 adapted for use in the detection of alternative species  
18 e.g. Escherichia Coli, Salmonella, Bacillus Anthracis,  
19 Yersinia Pestis, Lysteria, Cryptosporidium, Variola  
20 virus, Picomaviridae Apthovirus, Filoviruses, any  
21 plasticiser, steroid, medicinal drug or illicit substance  
22 or any other known fluid borne pathogen.

23

24 In addition to the use for water quality monitoring as  
25 described above it would be obvious to one skilled in the  
26 art that the Surface Plasmon Resonance sensor 8 is also  
27 ideal for use in healthcare, especially for use as a  
28 point of care diagnostic.

29

30 Aspects of the present invention described above offer  
31 significant advantages over the Prior Art. In the first  
32 instance the Surface Plasmon Resonance sensor provides a  
33 compact, mobile and cost effective device for the field  
34 testing of the presence of a pathogen. The device is

1 ideal for the expeditious detection and identification of  
2 a range of pathogens. Further, the incorporation of the  
3 focused line area provides a means for carrying out such  
4 a detection and identification process simultaneously for  
5 a number of different pathogens.

6

7 The foregoing description of the invention has been  
8 presented for purposes of illustration and description  
9 and is not intended to be exhaustive or to limit the  
10 invention to the precise form disclosed. The described  
11 embodiments were chosen and described in order to best  
12 explain the principles of the invention and its practical  
13 application to thereby enable others skilled in the art  
14 to best utilise the invention in various embodiments and  
15 with various modifications as are suited to the  
16 particular use contemplated. Therefore, further  
17 modifications or improvements may be incorporated without  
18 departing from the scope of the invention herein  
19 intended.

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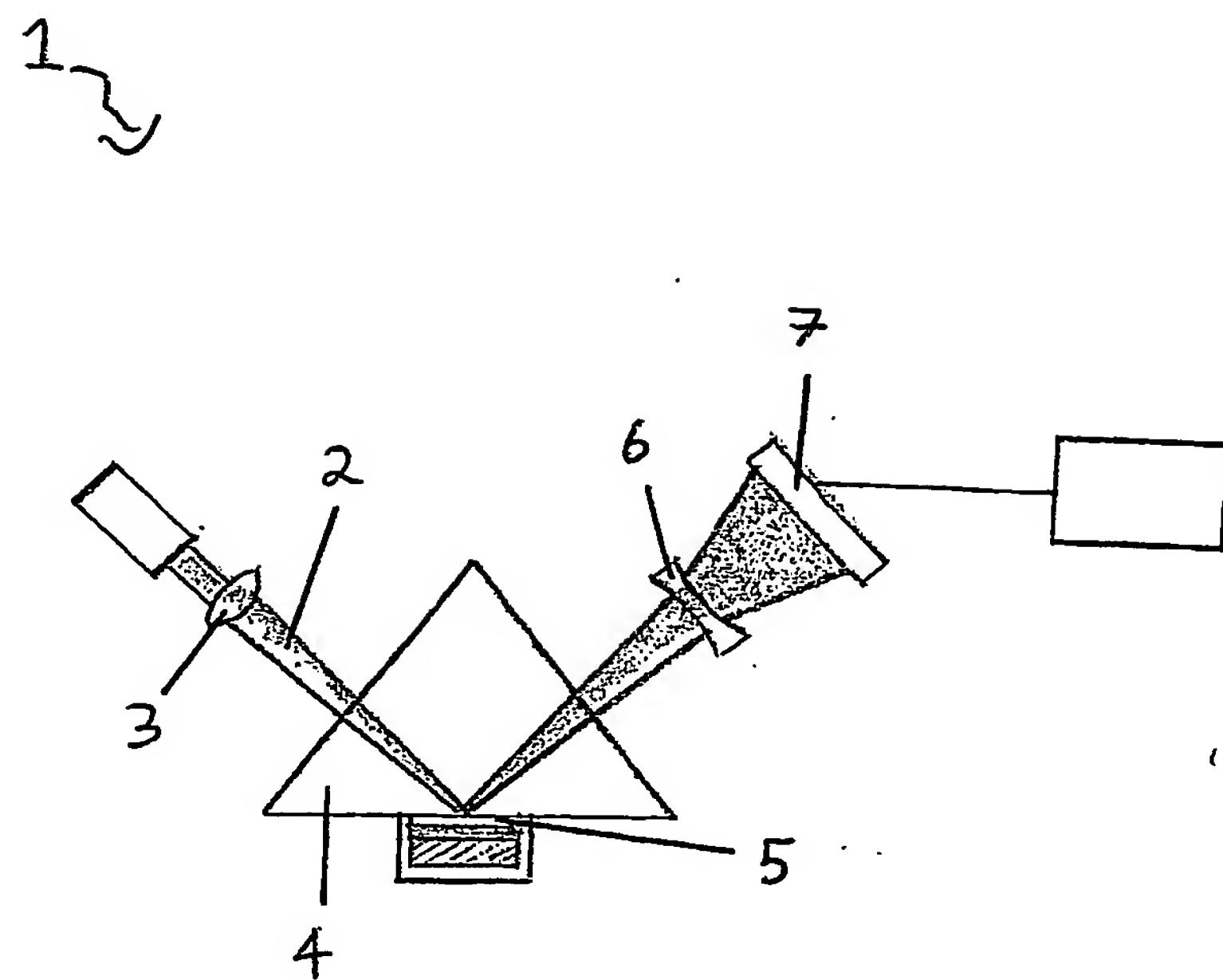
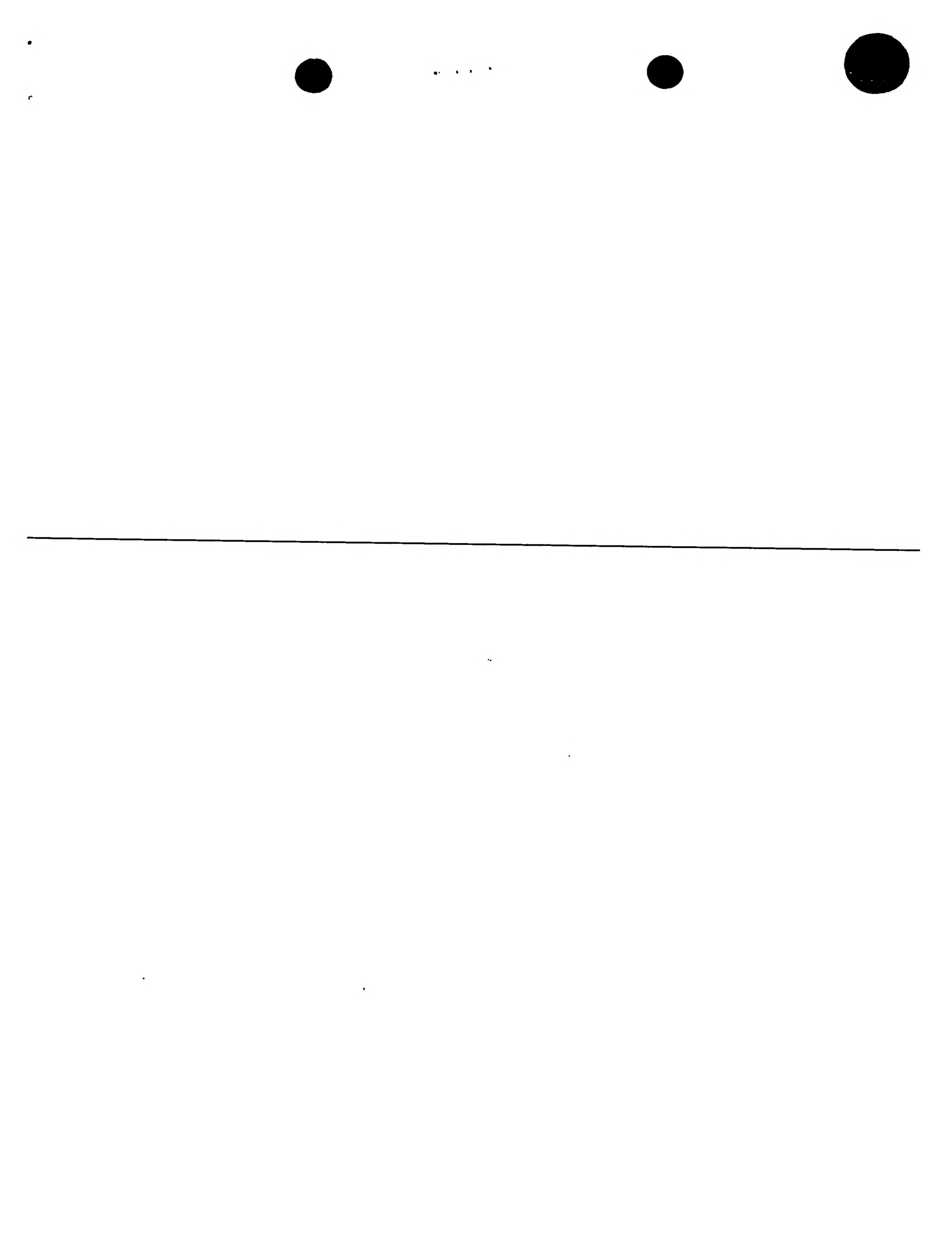


FIGURE 1



2/3

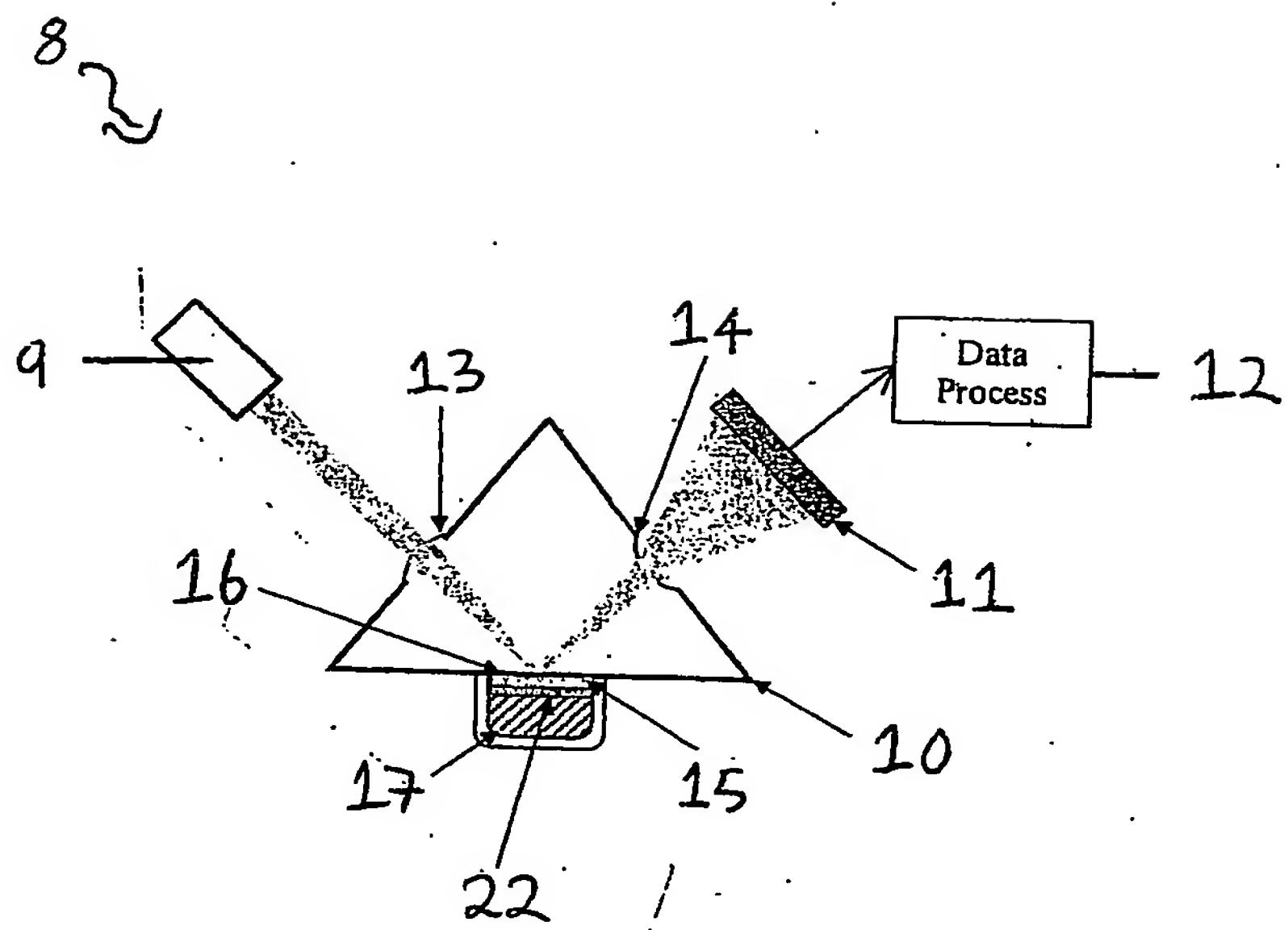


FIGURE 2

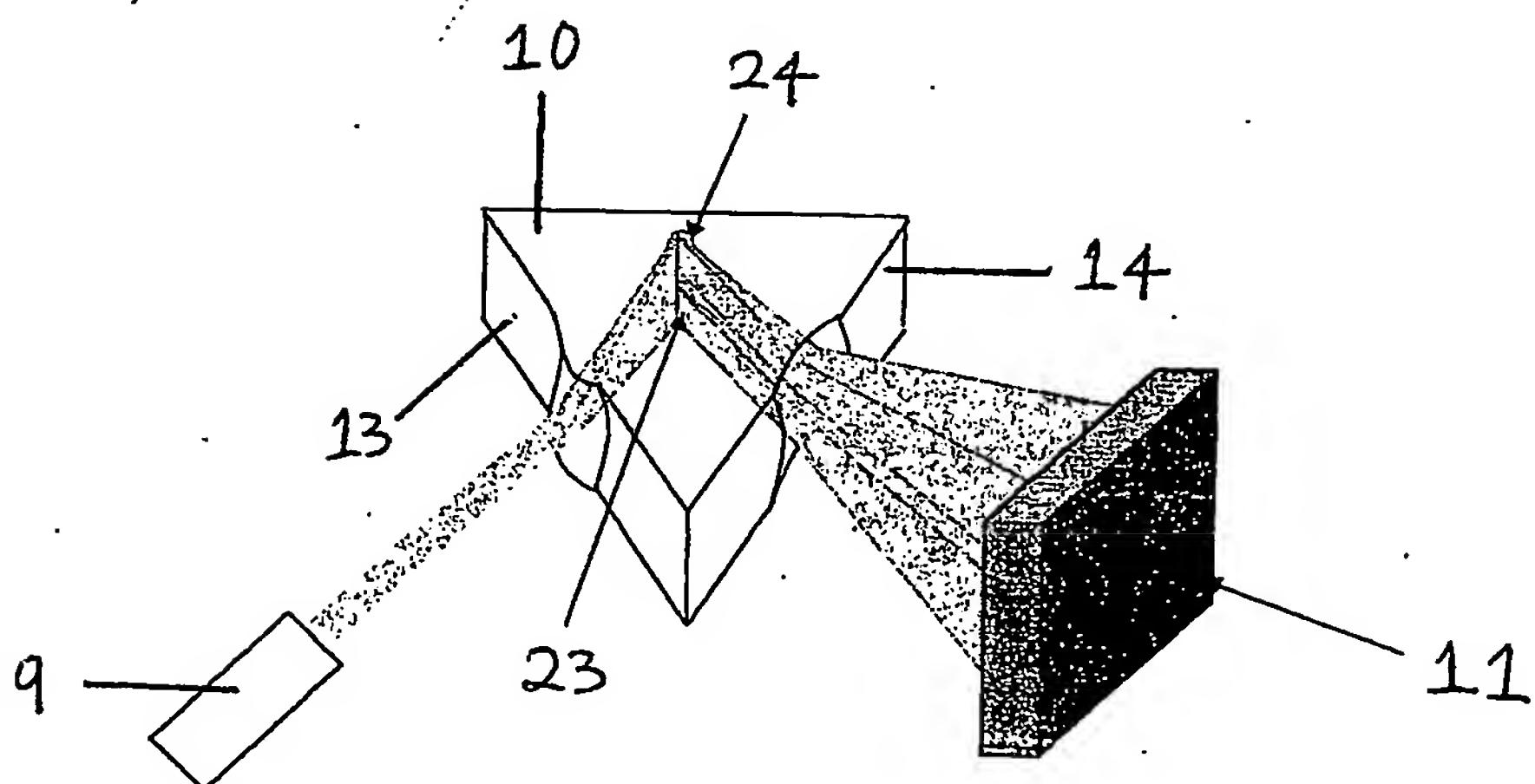
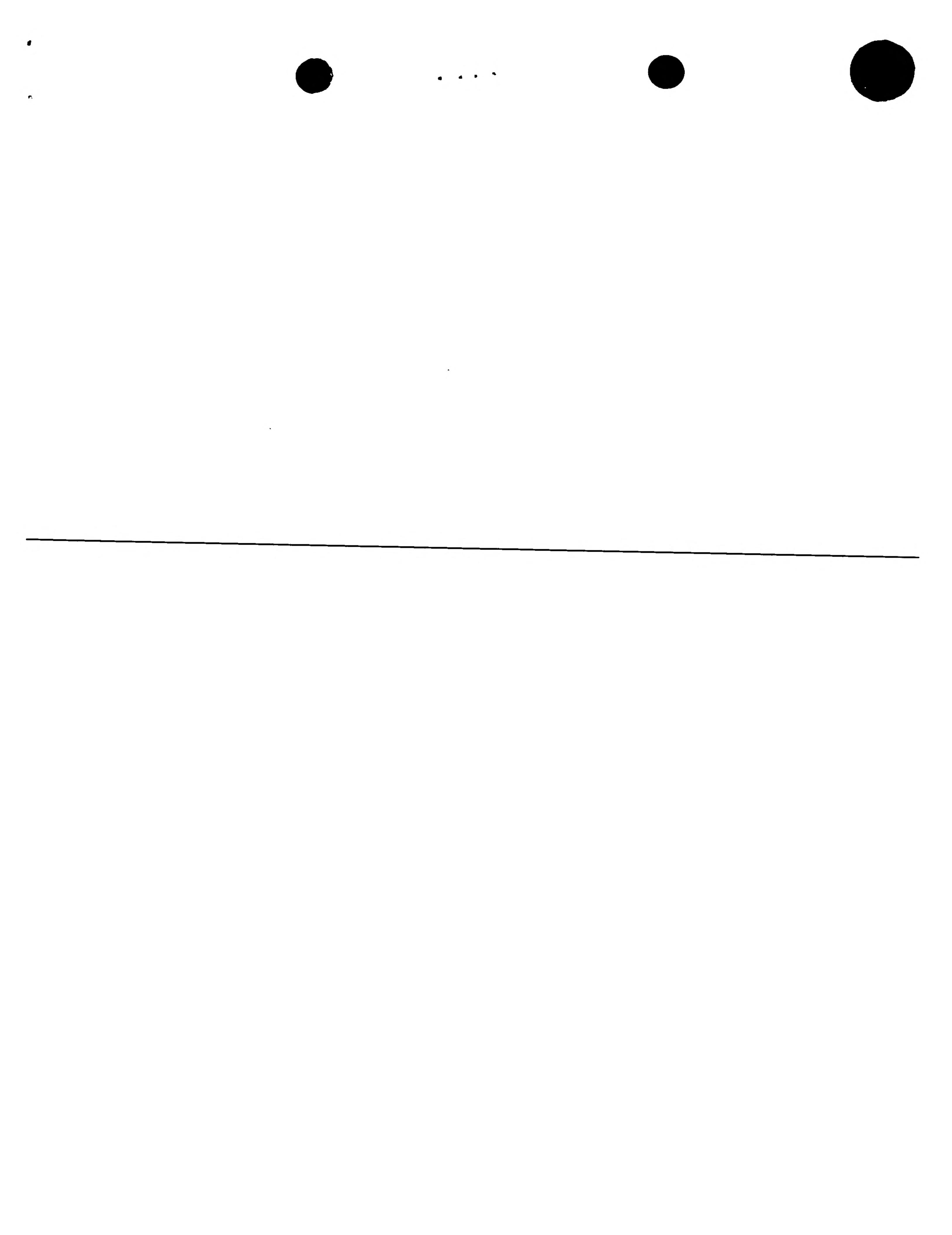


FIGURE 3



3/3

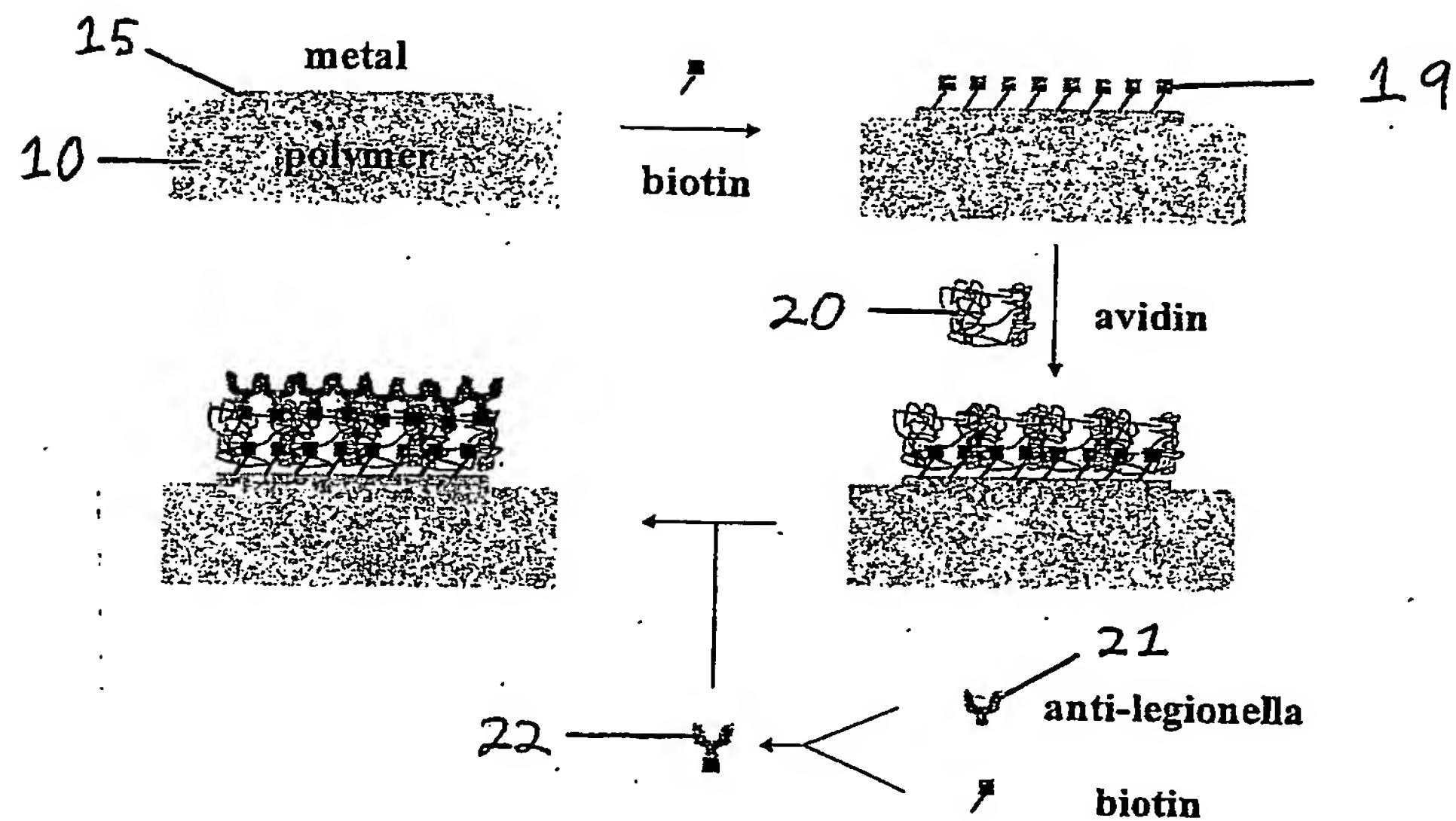


FIGURE 4

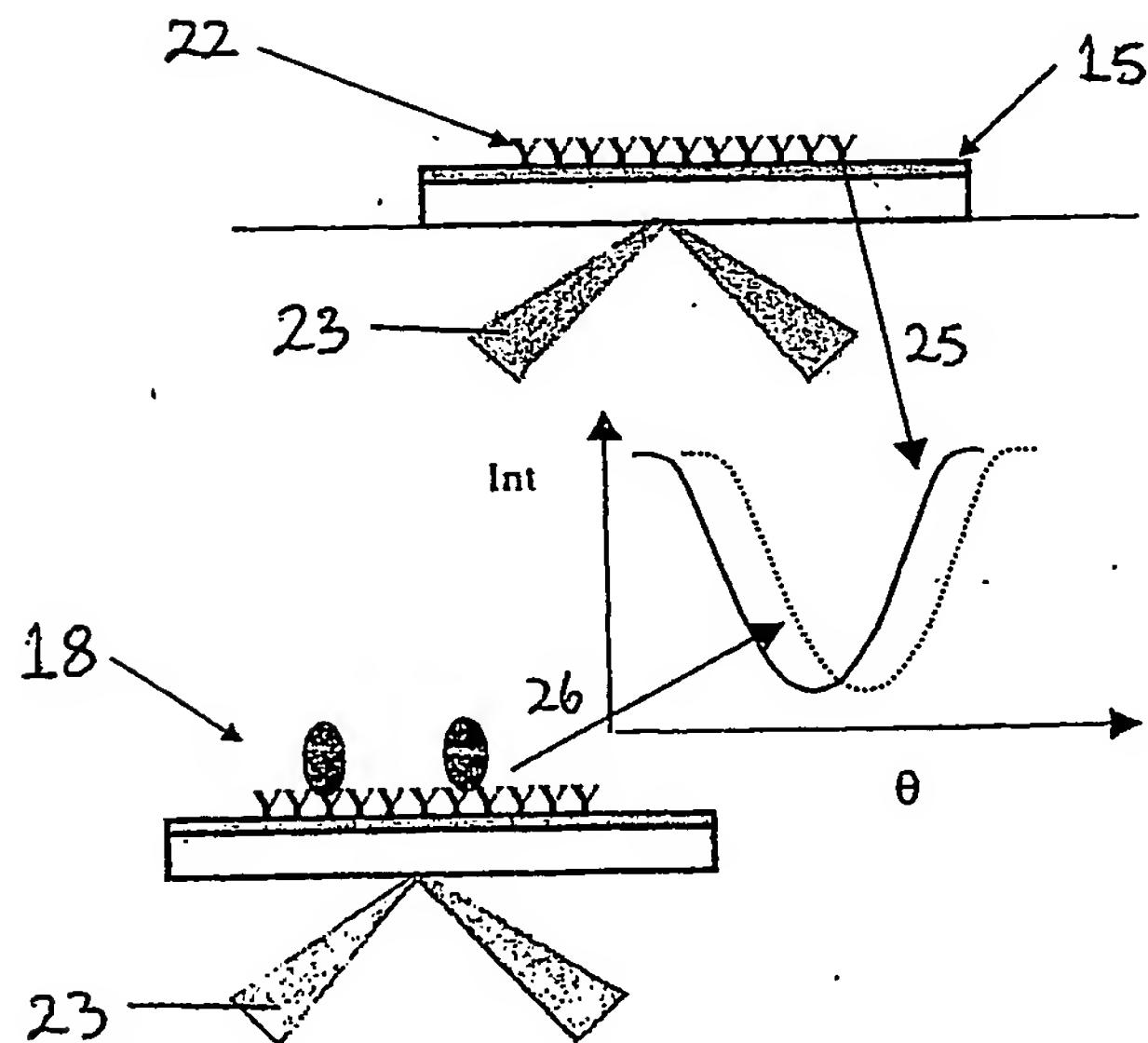


FIGURE 5

PCT Application  
**PCT/GB2003/005716**



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